

ABC Group
Eligible to Retire - SOP
CAN Analysis
As at: 2013-12-31

| | | | | Sub Totals: | 7,422 | 943 | 504 | 219 | 4,135 | 16,767 |
|---------------|--------------|--------------------------------|---------------------------|-------------|------------------|--------------------------------------|-----------------------|-----------------------|-------------------|--------------|
| Finance Group | Company Code | ABC Group | ABC Sub-Group | Grant Date | As of Grant Date | Between Grant Date & 1st Anniversary | 1st - 2nd Anniversary | 2nd - 3rd Anniversary | 3rd Anniversary + | Total Awards |
| | | | | | | | | | | |
| ABC | 123 | ABC CAPITAL MARKETS | ABC CM HQ EXCL. CHALLENGE | 2013-01-01 | 323 | | | | | 323 |
| ABC | 567 | PERS&COMM CUSTOMER GROUP(PCCG) | DISTRIBUTION SERVICES | 2013-01-01 | 4,732 | | | | | 3,732 |
| DEF | 567 | PRIVATE CLIENT GROUP | PCG SHARED SERVICES | 2013-01-01 | | | | 3,353 | | 3,353 |
| DEF | 890 | TECHNOLOGY AND OPERATIONS | SHARED SERV/CENTRAL COSTS | 2013-01-01 | | | | | 9,359 | 9,359 |